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Marianne H Michel  
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August 22, 2002  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lowe et al. Date: August 22, 2002  
Serial No.: 09/435,054 Group Art Unit: 1638  
Filed: November 8, 1999 Examiner: Medina Ibrahim  
For: Transcriptional Activator Nucleic Acids, Polypeptides and Methods of  
Use Thereof

Assistant Commissioner for Patents  
Washington, D.C. 20231

**FILING OF AN INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(c)**

The attached list of citations is being submitted under the provisions of 37  
C.F.R. §1.56 and §1.97 in order to comply with the duty of disclosure. Their  
inclusion herein should not, however, be construed as an admission that any  
particular cited reference is effective prior art or that it discloses or renders obvious  
any aspect of the claimed invention.

The references cited herein are listed on the enclosed PTO Form 1449.  
Copies of each are enclosed.

This statement is being filed under the provisions of 37 C.F.R. §1.97(c),  
before the mailing date of a Final Office action or before the mailing date of a Notice

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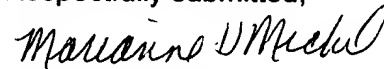
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Serial No. 09/435,054  
Group Art Unit: 1638

of Allowance, along with the authorization to charge the \$180.00 fee specified in 37 C.F.R. §1.17(p) to the Deposit Account 16-1852.

Please charge any additional fees under 37 C.F.R. §1.16 or §1.17 which may be required by this paper, or credit any overpayment to the indicated Deposit Account.

Respectfully submitted,~



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